Professional Career Development Programme: Risk & Conduct Specialist

Overview
Within the Risk/Compliance function of a specific organisation, the role of the Senior Compliance/Risk Specialist is to provide high quality input and advice to the business in their specialist area(s) of expertise e.g. risk, financial crime, compliance, modelling/analysing data. This is likely to include dealing with complex problems, implementing new legal / regulatory requirements, working with senior managers in the organisation. The role requires sound ethical standards, significant technical expertise, together with the capability to influence senior members of the organisation as there can often be differing views on the appropriate action to take. Deep underpinning knowledge of risk and compliance frameworks, policies and procedures will be required. It may cover compliance or risk functions or be a blend of both depending on the organisation.

Key information:

<table>
<thead>
<tr>
<th>Duration</th>
<th>Typically this professional career development programme will take 15 – 24 months.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Minimum Entry Requirements</td>
<td>Employees without level 2 English and Maths will need to achieve this level prior to taking the end-point assessment.</td>
</tr>
<tr>
<td>Qualifications</td>
<td>The employee will complete the ICA Diploma in a chosen pathway: Anti-Money Laundering, Financial Crime or Governance, or Risk &amp; Compliance.</td>
</tr>
<tr>
<td>Delivery</td>
<td>Our guided teaching is delivered through a mix of live webinars delivered by a BPP University tutor, 24/7 access to recorded lectures and online lecture notes. Including online teaching sessions with face-to-face inductions and masterclasses. Students take part in live discussion with fellow learners during live webinars and via course forums.</td>
</tr>
</tbody>
</table>

On the job training
The table below gives an example of time required during working hours to complete this programme.

<table>
<thead>
<tr>
<th>Programme Module</th>
<th>Timetabled Lectures</th>
<th>Flexible Study Time</th>
<th>Total Hours</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Knowledge Modules</td>
<td>56</td>
<td>140</td>
<td>196</td>
<td>Webinars plus self-study and mock exams.</td>
</tr>
<tr>
<td>On the job training</td>
<td>-</td>
<td>-</td>
<td>70</td>
<td>Employer led learning such as GPL, Continuous Professional Development, technical training, shadowing and mentoring.</td>
</tr>
<tr>
<td>Study leave</td>
<td>-</td>
<td>35</td>
<td>35</td>
<td>Study leave in accordance with Professional Qualifications policy.</td>
</tr>
<tr>
<td>Gateway preparation</td>
<td>-</td>
<td>14</td>
<td>14</td>
<td>Evidence gathering and authentication to pass ‘Gateway’ through to End Point Assessment preparation.</td>
</tr>
<tr>
<td>Total</td>
<td>56</td>
<td>189</td>
<td>315</td>
<td></td>
</tr>
</tbody>
</table>

This allocation does not include additional time which may be required for functional skills or on programme resits. Please note that this calculation does not include the End Point Assessment phase.

If you are interested in the Professional Career Development Programme, contact your line manager.

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Rbs.bppuniversity.ac.uk

Trailblazer Group
Each Professional Career Development Programme has an assessment plan produced and developed by employer-led groups known as ‘trailblazers’. Employers who have developed this programme include:

Skills, Knowledge and Behaviours associated with the Risk & Conduct Specialist Professional Career Development Programme

**Skills**
- Risk and Compliance Framework
- Industry and Company Understanding
- Customers and Products
- Systems and Processes

**Knowledge**
- Specialist Risk
- Financial Crime
- Compliance

**Behaviours**
- Strategy and Planning
- Delivering Services
- Analysis and Problem Solving
- Communicating & Influencing
- Teamwork
- Continuous Development
- Developing Self and Others

Full details of the Skills, Knowledge and Behaviours for this Professional Career Development Programme can be found [here](#).